



CMT Association
Continuing Education Unit (CEU) Credit Guide

Introduction

The CMT Association recognizes that in order to be a successful Technical Analyst in a competitive industry, individuals must remain committed to furthering their professional knowledge and skill base. To ensure that our membership stays abreast of current industry developments, we strongly encourage all members to participate in available continuing education opportunities. Taking advantage of available Continuing Education Unit (CEU) credits enables our members to utilize educational activities and report their earned knowledge. Consult this guide to determine programs or activities you may participate in to be eligible for CEU credit awards.

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SECTION 1: CEU CREDIT HOUR REQUIREMENTS

Initial CEU Reporting Period

The CMT Association does not require its members to obtain CEU credits in order to retain membership or charterholder status. However, the CMT Association strongly encourages all members to participate in available continuing education opportunities in order to maintain and improve their professional competence and strive to maintain and improve the competence of other investment professionals, in accordance with the Code of Ethics and Standards of Professional Conduct. The CE Reporting Period starts the January after being granted the Professional Member status. The CMT Association recommends that CMT Professional Members complete 15 CEU credits each calendar year, with a minimum of three (3.00) credits relating to Ethics.

Earning CEU Credit Hours

CEU credits must be earned during the current reporting period. Excess CEU credits may not be applied to subsequent reporting periods. CEU credits earned for any one program cannot be divided between two reporting periods. Each activity has a different amount of CEU credits for which participants will qualify. The list of accepted activities and how many CEU credits they qualify for can be found in Section 3 of this document.

It is important to note that 3.00 credits of your annual total should be devoted to Ethics. Ethics credits are an important component of ongoing education within the CMT Association as these credits allow members to stay current in the areas of professional conduct, standards, laws and regulations of the industry. Individuals who are active CFA or CFP charterholders can apply CFA Institute or CFP Board ethics content to this criterion.

SECTION 2: CEU PROGRAM REQUIREMENTS

Criteria For Programs Awarding CEU Credit Hours

CEU credits are awarded for activities specifically related to the investment industry. Participants in all activities provided by the CMT Association are eligible for CEU credits. Programs not provided by the CMT Association must meet the following criteria to be eligible for CEU credit:

- Address one or more of the following topics or soft skill areas:
 - Ethics
 - Risk Management
 - Portfolio Management
 - Quantitative Systems
 - Behavioral Finance
 - Data Visualization
 - Programming
 - Monetary Policy
 - Performance Metrics
 - Statistics
 - Licensing (attaining new regulatory licenses)
 - Compliance
 - Writing (Research Reports)
 - Public Speaking
 - Organizing Presentations
 - Management (Leadership)
 - It is important to note that only three soft skill (writing, public speaking, organizing presentations, or management) CEU credits will be accepted per reporting period.
- Be at least 50 minutes of content for all courses both pre-approved and self-reported non-registered.
- Ethics credits must be provided by the CMT Association, CFA Institute, or by the CFP Board.

SECTION 2: CEU PROGRAM REQUIREMENTS

Program Eligibility Questions

No less than 9 CEU credits should be related to finance and investing. 3 credits of your annual total should be devoted to ethics. Up to 3 credits relating to soft skills (writing, public speaking, organizing presentations, or management) will be accepted. If you have a question about whether or not a program you've attended qualifies for CEU credits, you can reach out to admin@cmtassociation.org.

SECTION 3: ACCEPTED PROGRAMS

Calculating Your Self-Reported CEU Credits

CEU credits are determined using the following criteria:

Any program submitted for CEU credits must be a minimum of 50 minutes of program content for 1.00 CEU credit hour. **For reading material, the amount of CEU credits you would report is equal to the word count of the material divided by 9,000.** (The exact formula for calculating CEU credits is shared between reading material and audio/visual material, such as webinars or videos, and is displayed below.)

$$\frac{\left[\left(\frac{\text{word count}}{180}\right) + \text{duration of audio or video in minutes}\right]}{50} = \text{CEU credits}$$

Earning CEU Credits With The CMT Association

The following programs provided by the CMT Association are accepted:

- CMT Annual Symposium*
 - 15 credits
- CMT Chapter Meetings*
 - 1 credit
- CMT Exams*
 - 15 credits per exam level passed
- CMT Conferences
 - 8 credits
- Webinars
 - 1 credit
- Archived videos
 - 1 credit
- Reading materials
 - Includes books, *Journal of Technical Analysis*, *Technically Speaking*, articles, etc.

SECTION 3: ACCEPTED PROGRAMS

*CEU credits for educational programs and exams provided by the CMT Association are automatically awarded to registered participants after completion of the event.

Earning CEU Credits Outside The CMT Association

The following programs provided by external organizations are accepted:

- Reading Materials
 - Books, articles, etc.
- Teaching Financial/Technical Analysis Classes
 - 1 credit (50 Minutes)
- Chapter meetings
 - CFA, CFP, CAIA
- Exams
 - CFA, CFP, CAIA
- Conferences
 - CFA, CFP, CAIA

SECTION 4: REPORTING CEU CREDITS

Access And Self-Report Your CEU Credits

CMT Members can access up-to-date information regarding CEU credit hours by logging into the CMT website and selecting “[View Credit History](#)” in their account. CMT Members can also [self-report CEU credits](#) in their account.

When reporting CEU credits, you will need to select the type of credit, the date you completed the credit(s), and the amount of credits you have received. You have the option to include an explanation of the credits you are reporting before you submit. If you are reporting credits for watching archived videos or reading a book on Technical Analysis, please include the title (and authors if applicable) in this section. We may reach out if additional information is required.

No Need To Self-Report Credits From CMT Events

Events hosted by the CMT Association, such as Chapter Events or Live Webinars, are automatically reported to your profile and do not require action on your part.

If you find yourself attending an event at the last minute without having registered, please reach out to admin@cmtassociation.org so that your record can be adjusted to reflect your participation.

SECTION 5: RESOURCES

Where Can I Learn More?

The CMT Association has several resources available for members looking to participate in programs to earn CEU credit.

The [Educational Web Series](#) page has a schedule of upcoming webcasts where you can register for regular one-hour online lectures covering a variety of active investment management topics. You can also access the Video Archives on this page, where you can watch previously recorded webinars that can be self-reported for CEU credits.

The [Events Calendar](#) lists upcoming Chapter Events, Summits and Conferences, as well as Webinars. Candidates can register for these events and CEU credits will be applied to their profile after the event has ended.

The [Publications](#) pages have current and past issues of *Technically Speaking* and the *Journal of Technical Analysis*.

Additionally, these pages include information about the Technical Analysis Educational Foundation (TAEF) Library at Baruch College. Members can search the library [here](#).

Members located within the United States can request to borrow books from this library by emailing Admin@cmtassociation.org.

The TAEF collection is housed separately from the rest of the Newman collection and secured, so an appointment is needed to browse the collection. If you plan on visiting the library in person, please email Admin@cmtassociation.org with the date you plan to visit.

The CMT Association approves of Ethics Credits from the CFP Board and the CFA Institute. For the CFP Board, you can limit your search to CFP Board Ethics Programs that meet CFP Certification Renewal Requirements through [this search engine](#) on their website. For the CFA Institute, you can select “CE/SER Credits” under “Continuing Education,” as well as “Ethics & Integrity” under “Topic” through [this search engine](#) to find CE activities related to Ethics.



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